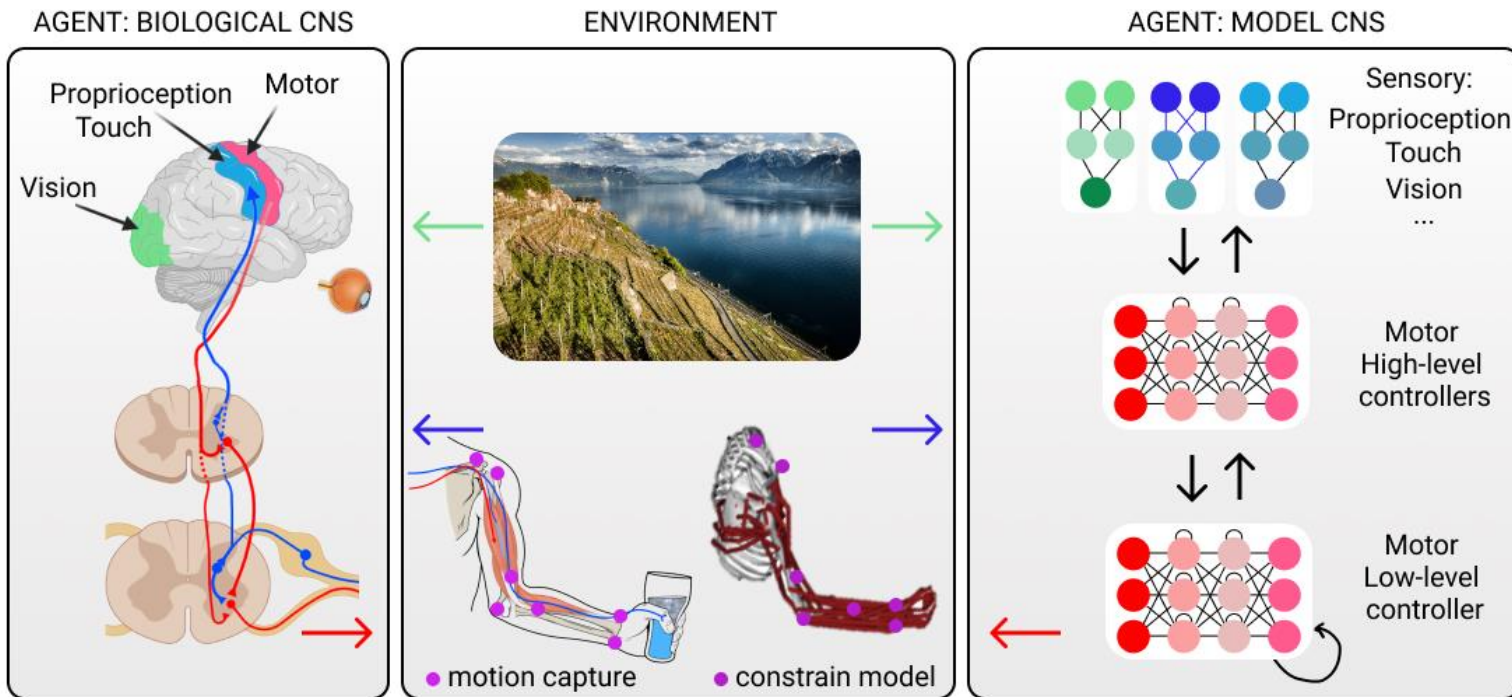


# NX-414: Brain-like computation and intelligence

Alexander Mathis  
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Lecture 10, April 30 2025

# Reverse engineering neural circuits





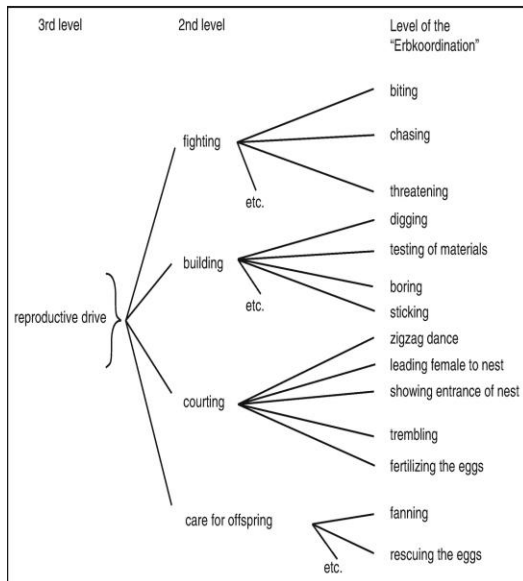
<https://www.youtube.com/watch?v=fbqHK8i-HdA>

Human motor control example

# Why is control hard for the brain?

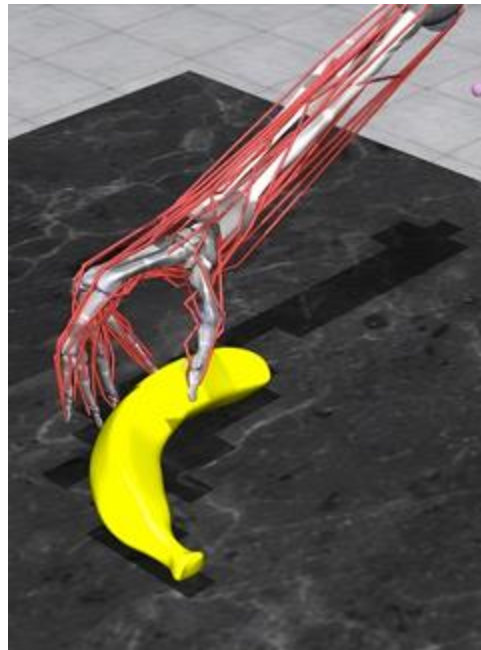
- Unlike (typical) robots, animals live in **uncertain** environments
- Animals perform a **wide range** of behaviors
- Animals have **many degrees of freedoms** (e.g. human > 600 muscles)
- Biological sensors are **slower and noisier**
- Animal bodies change substantially over time (development, injury, fatigue, exercise,...). Our brain needs to **adapt** continuously
- Complex animals **learn** most of their behavioral repertoire, so the brain needs to not only control behavior, but also build control control algorithms...

# Behavior is hierarchical



Tinbergen, 1942

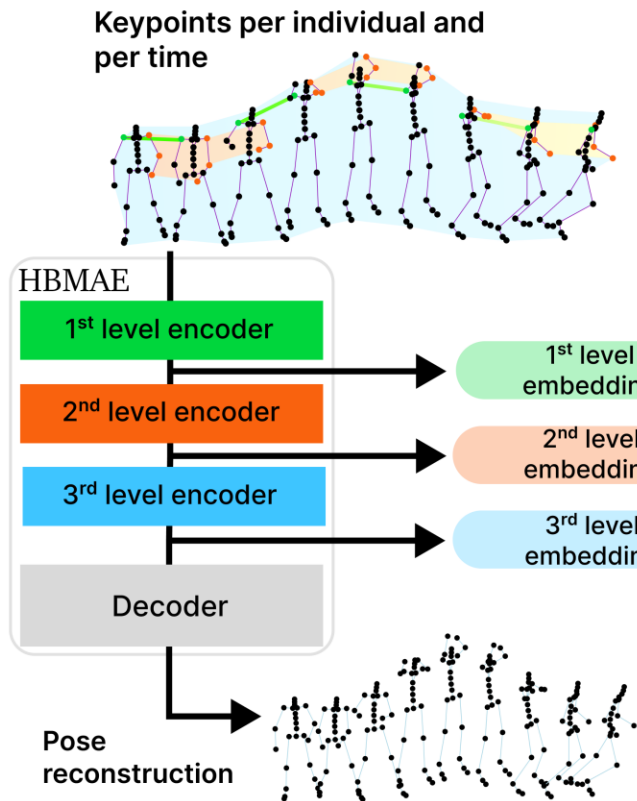
Activities & Actions



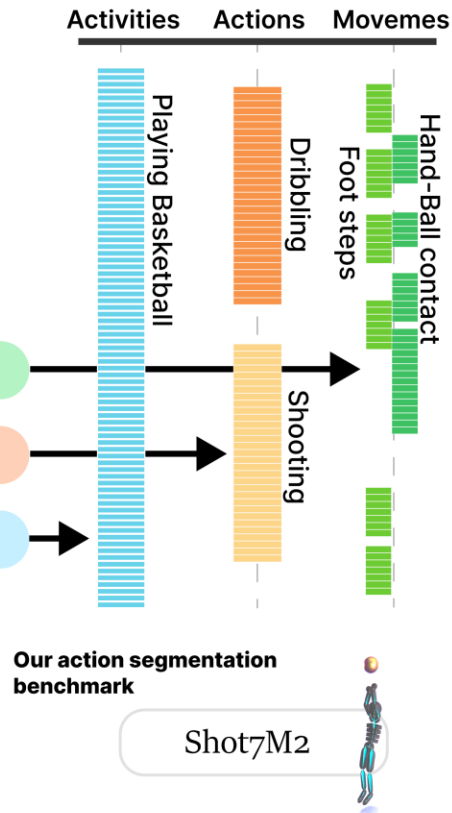
Movemes

# Hierarchical BehaveMAE (hBehaveMAE)

## I) Pre-training



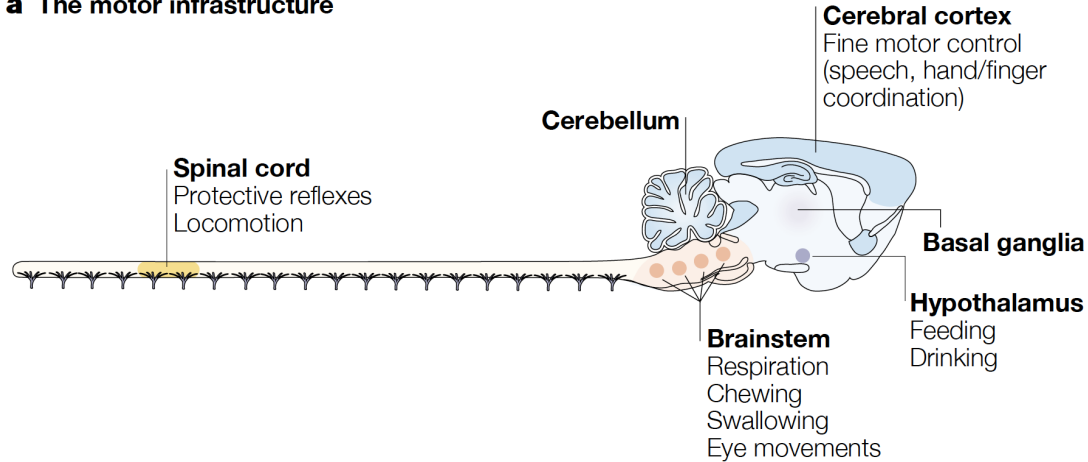
## II) Action Segmentation



# **Anatomy of motor control & pattern generators**

# Vertebrate motor control

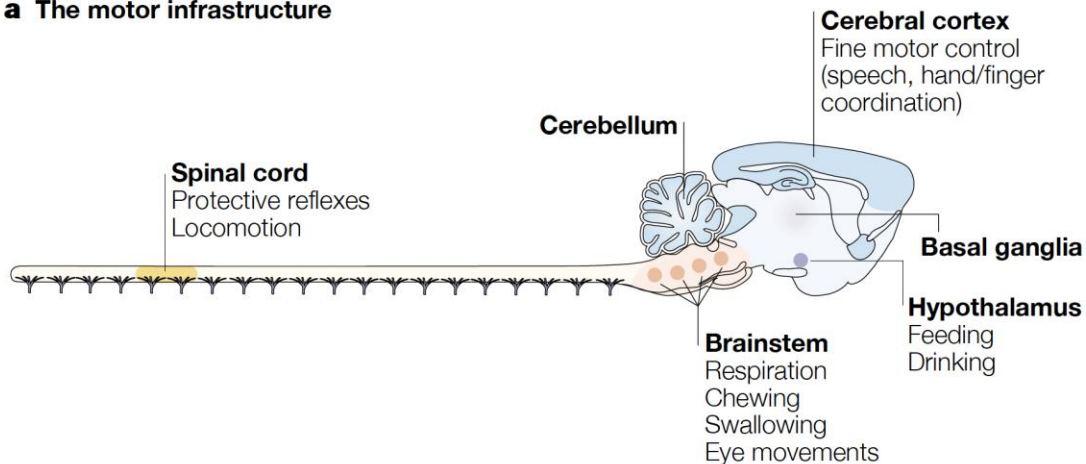
## a The motor infrastructure



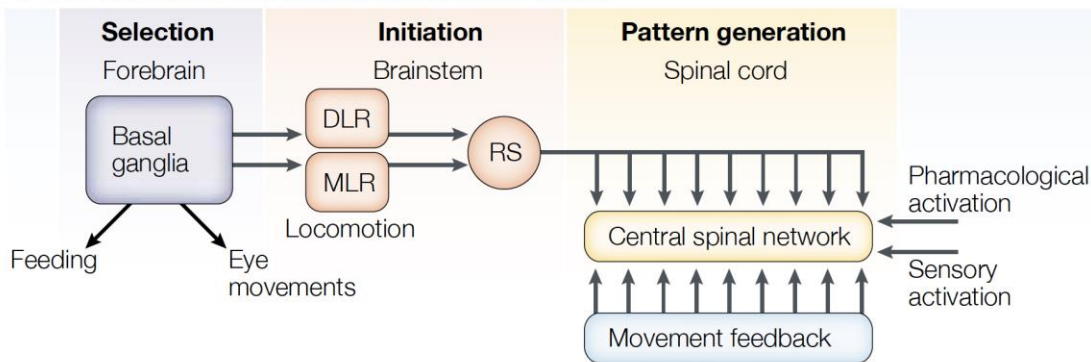


# Vertebrate motor control

## a The motor infrastructure

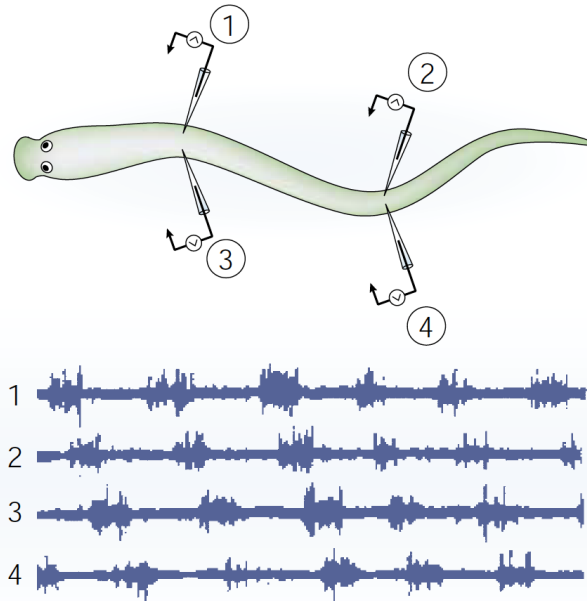


## b The vertebrate control scheme for locomotion



# EPFL Pattern generation in the intact lamprey and an isolated spinal circuit

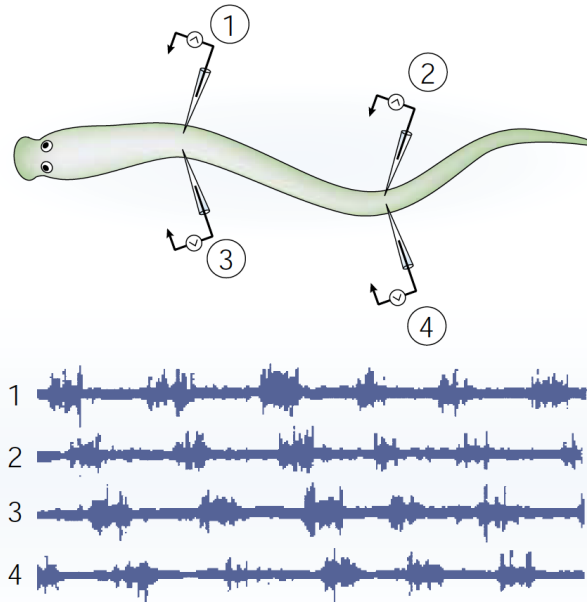
Intact lamprey — locomotion



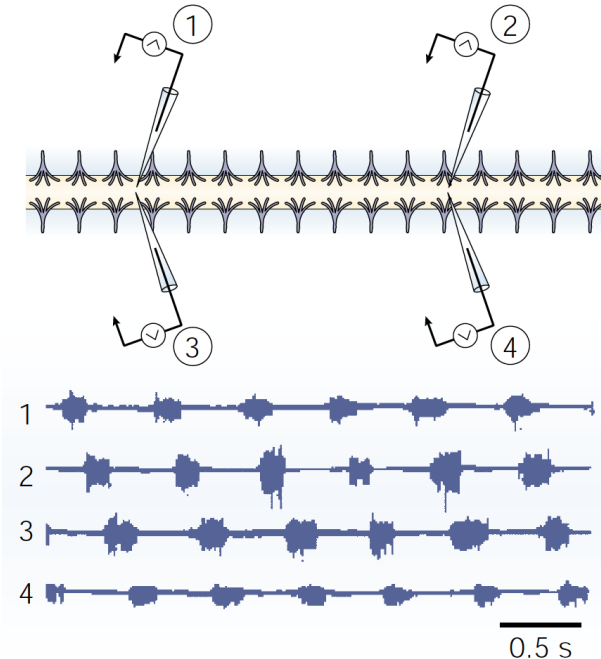
*Note: alternation of 1/3 and 2/4 plus lag between 1 and 2.*

# EPFL Pattern generation in the intact lamprey and an isolated spinal circuit

Intact lamprey — locomotion



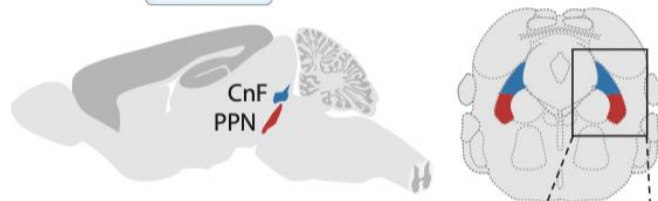
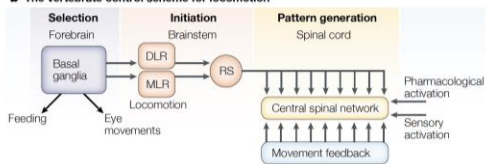
Isolated spinal cord — fictive locomotion



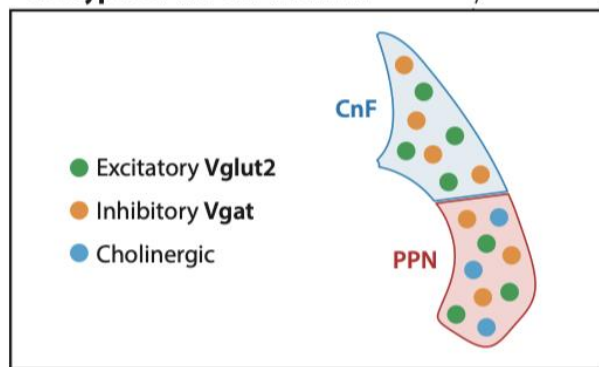
*superfusion of glutamate agonists*

# Brain stem circuits to control locomotion

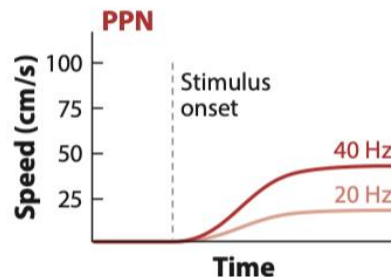
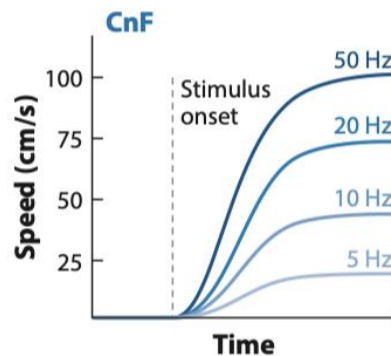
**b** The vertebrate control scheme for locomotion



**Cell types of the CnF and PPN**



**b** Vglut2 ChR2 stimulation



**Synchronous (high-speed) gaits**



**Alternating (low-speed) gaits**

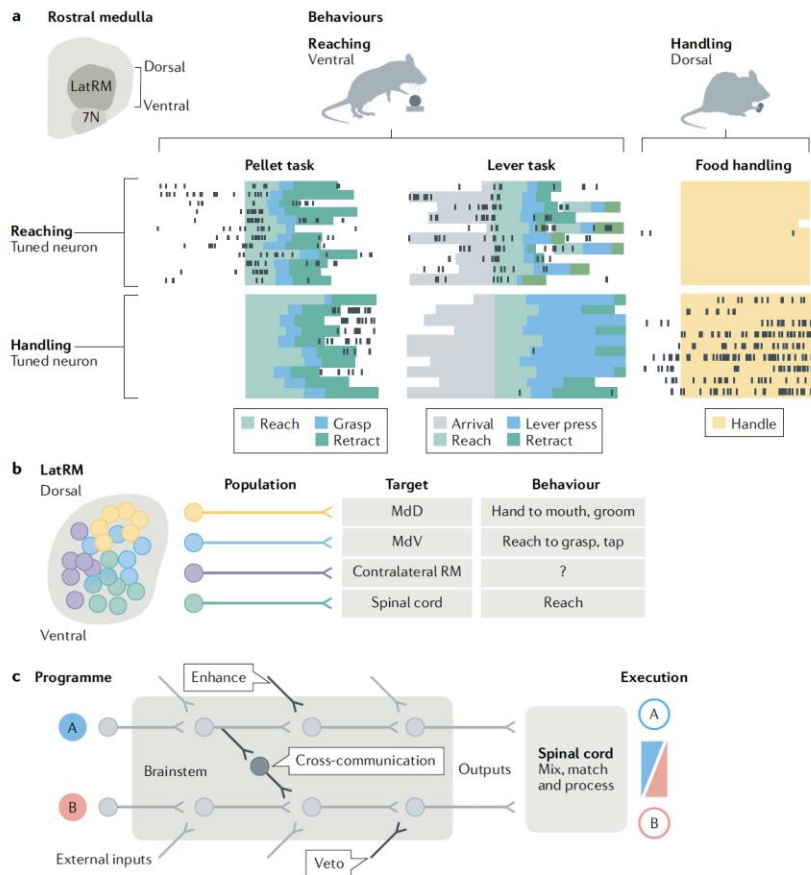


**Alternating (low-speed) gaits**

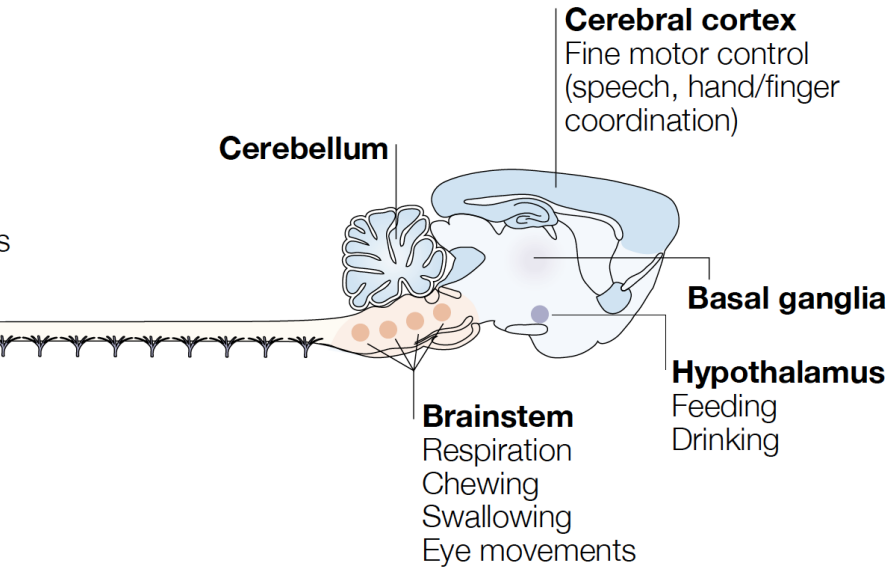


MLR, mesencephalic locomotor region; PPN, pedunculopontine nucleus;  
RFL, right forelimb; RHL, right hindlimb.

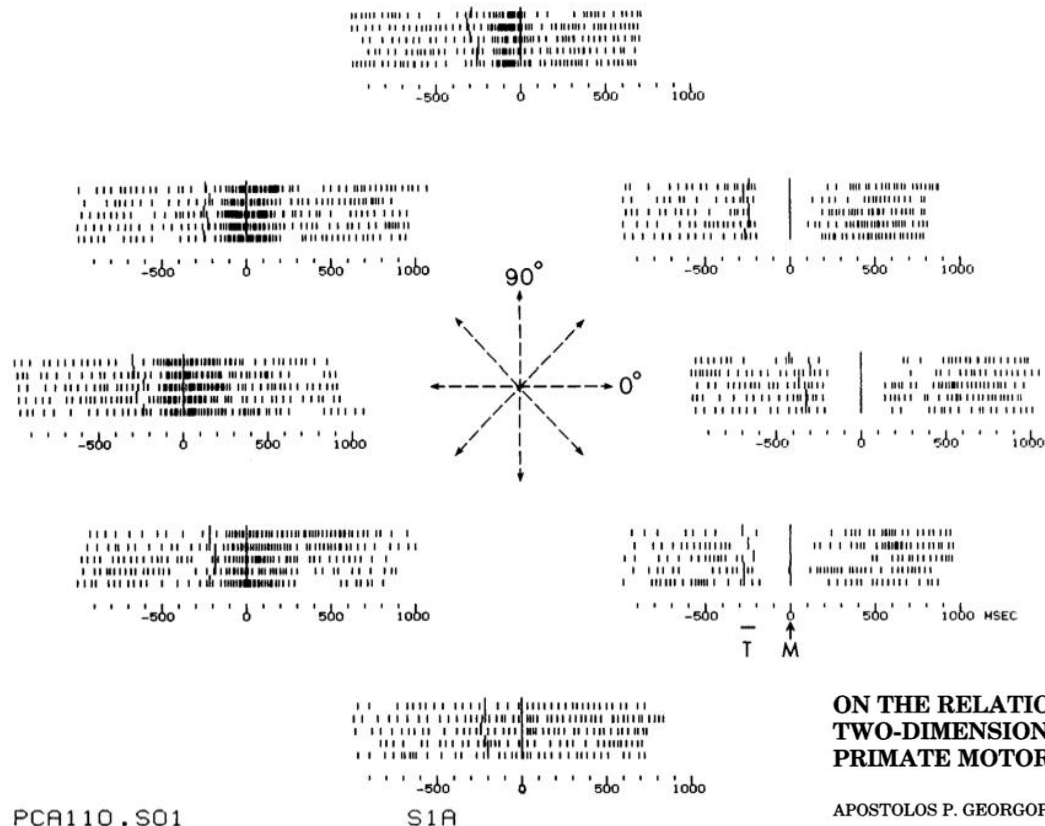
# Brain stem circuits to control reaching & handling



# Cortical control



# Reminder: Coding for the direction of movement

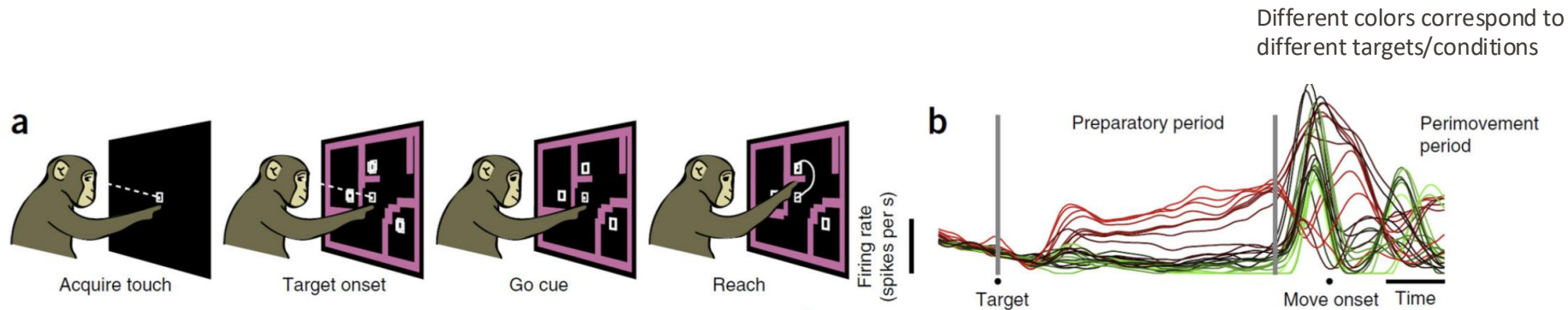


## ON THE RELATIONS BETWEEN THE DIRECTION OF TWO-DIMENSIONAL ARM MOVEMENTS AND CELL DISCHARGE IN PRIMATE MOTOR CORTEX<sup>1</sup>

APOSTOLOS P. GEORGOPOULOS,<sup>2</sup> JOHN F. KALASKA,<sup>3</sup> ROBERTO CAMINITI,<sup>4</sup> AND JOE T. MASSEY<sup>5</sup>

*Departments of Physiology and Neuroscience, The Johns Hopkins University School of Medicine, Baltimore, Maryland 21205*

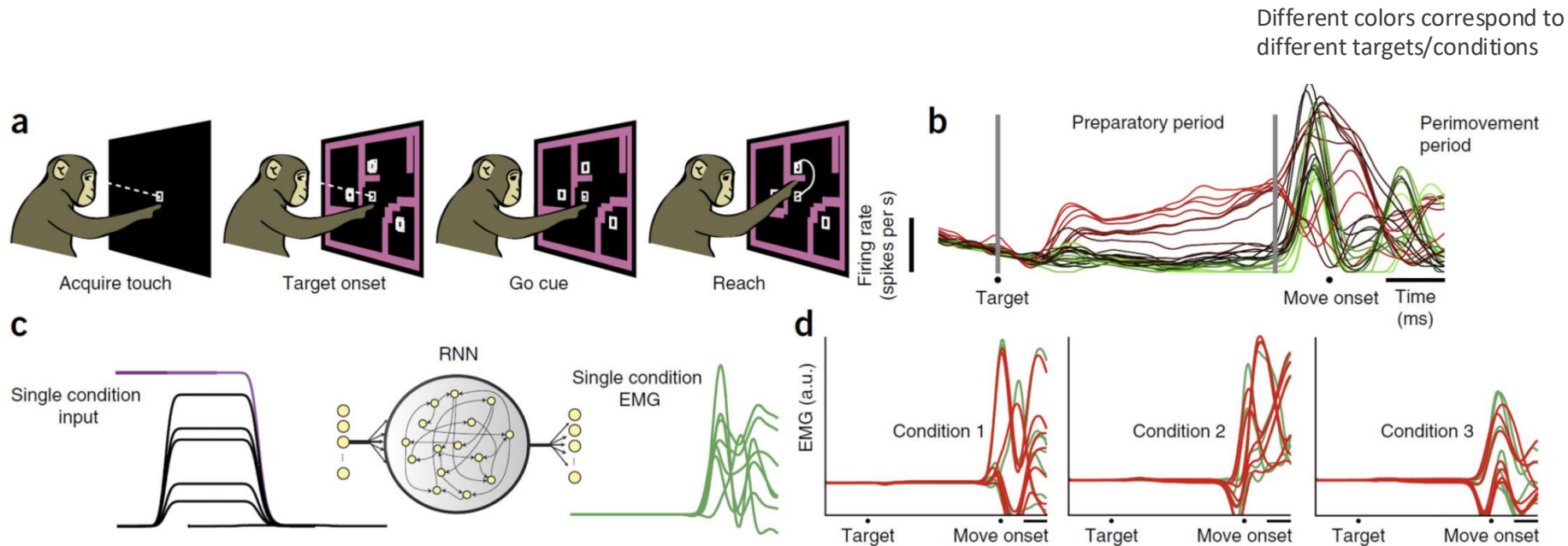
# Goal-driven modeling of motor control





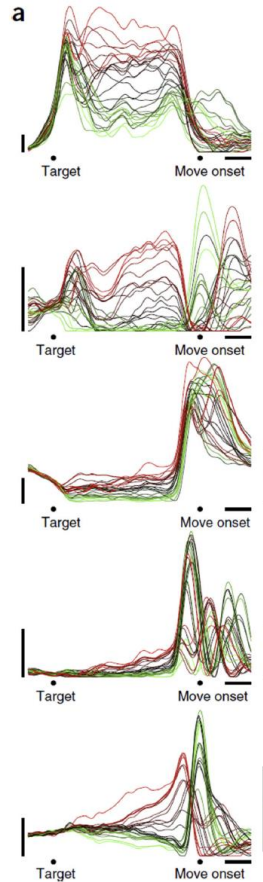
# Goal-driven modeling of motor control

Technically data-driven as they fit EMG



# Example Data

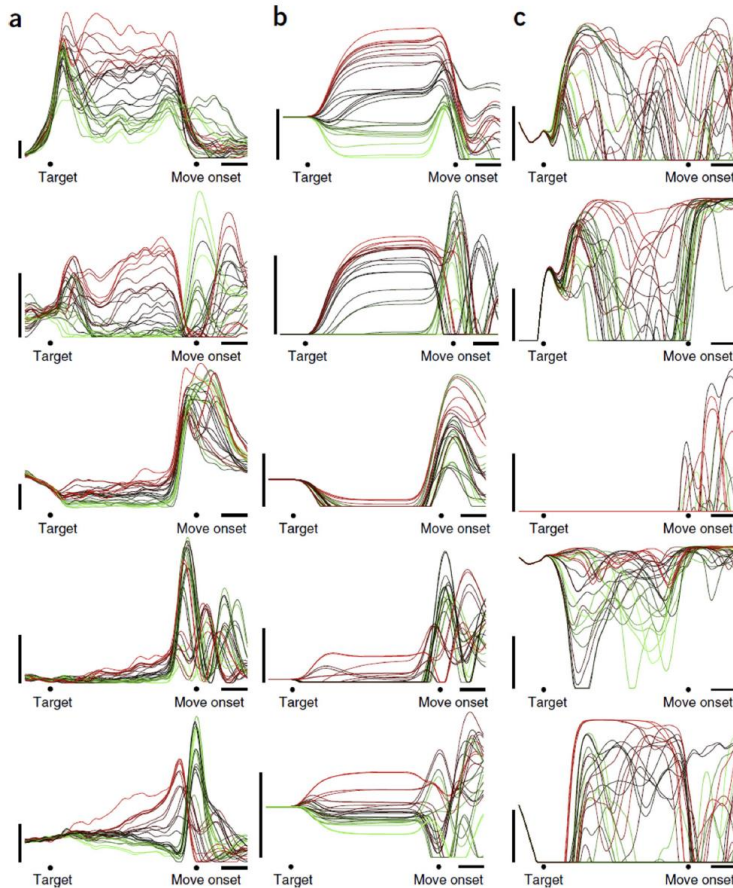
Example M1 units



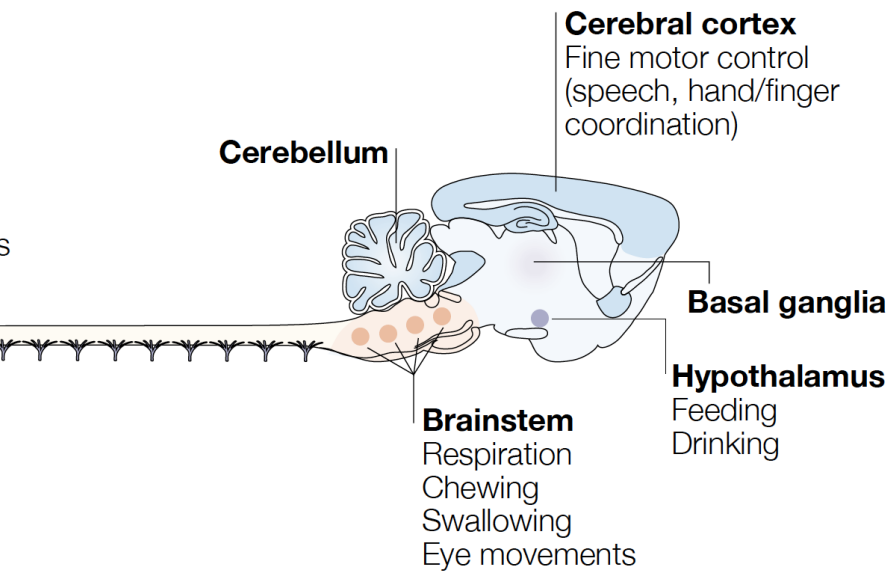
# Model predictions

Example M1 units

Model units w/wo regularization



# How are the many degrees of freedom tamed?

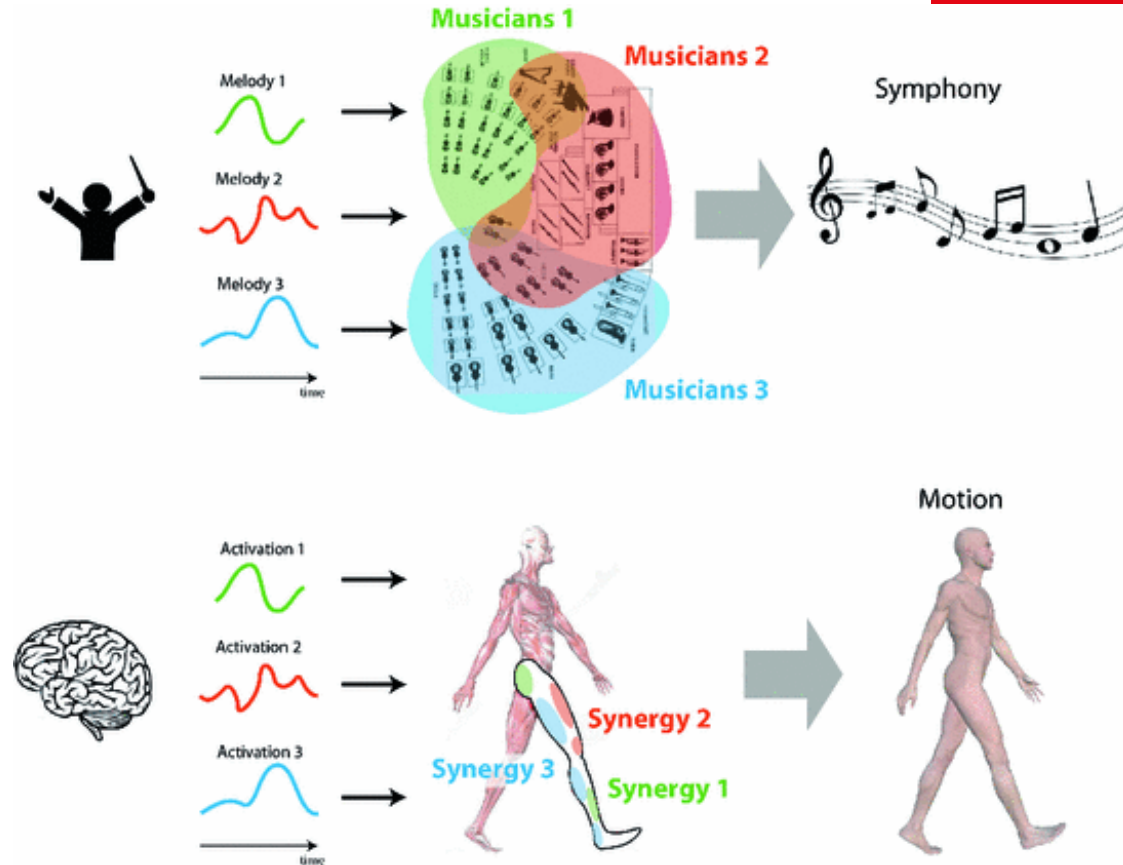




**How many muscle states  
are there?**

$$q^{600}$$

(for 600 muscles assuming  $q$  states per muscle)



# Muscle synergies as principle for motor control

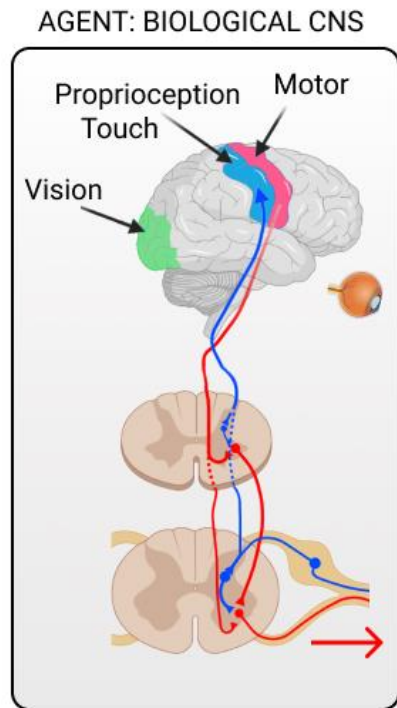
# Integration of feedback?

From (open-loop) pattern generation to control theory

Note: Feedback is also present in spinal cord/brain stem examples!

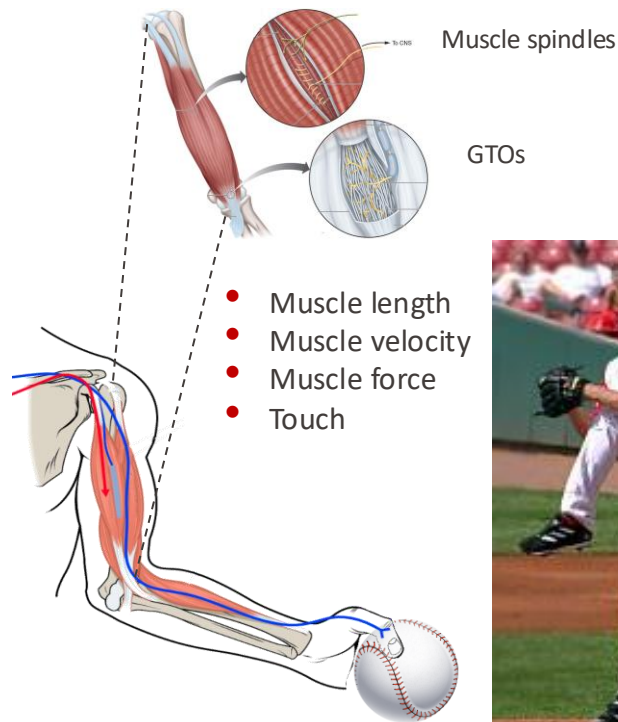


# Motor skills need sensory feedback



Sensory feedback

Motor commands



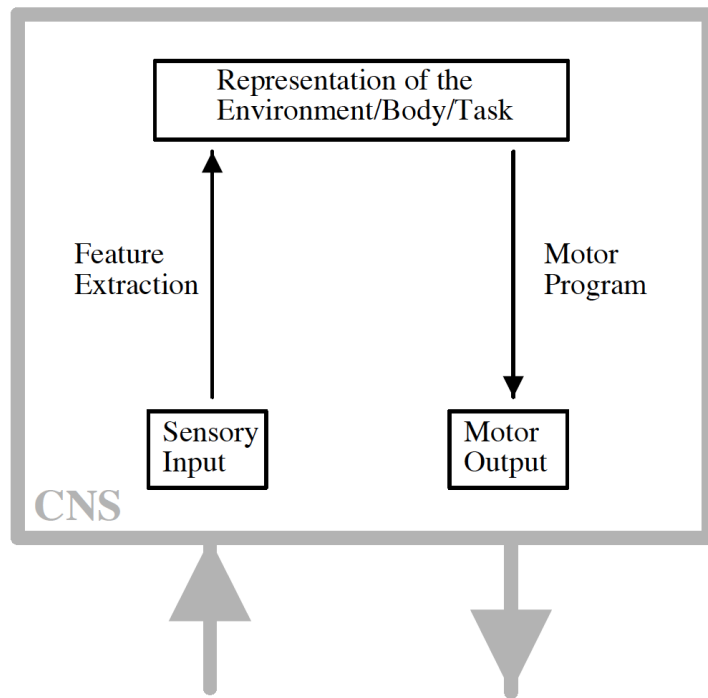


# Simple skills require feedback

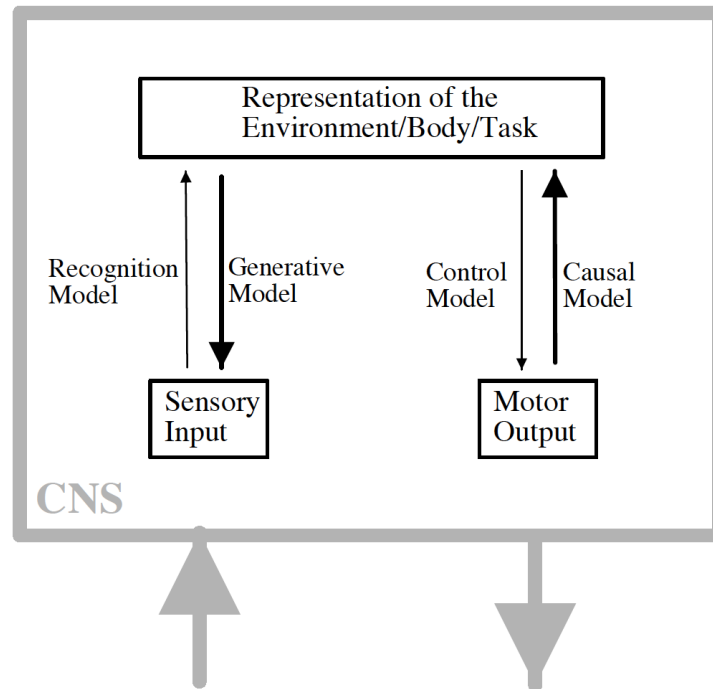


- Video taken from Roland Johansson Lab - Department of Integrative Medical Biology. Umea University, Sweden

# *Direct model of perception and control*



# Inverse models of perception and motor control



$P(G|M)$  causal model

$P(M)$  Movement prior

$$P(M|G)$$

Objective: find motor commands with high posterior probability!

M Motor command  
G goal/target

The thin arrows correspond to the the directions that are desirably but *harder to implement*!

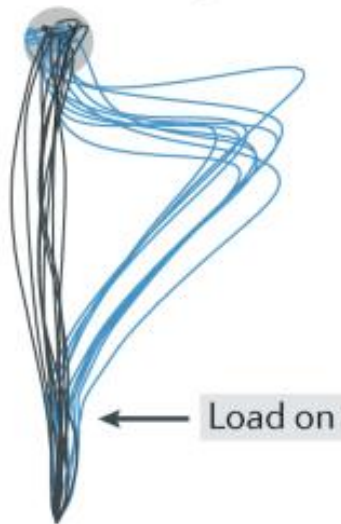
The thick arrows correspond to well-defined (relatively simpler transformations);

e.g., - generative model of vision: given the state of the world, predicting the retinal image (Optics,... )

- - causal model: given a motor command we can predict how it will change the world (Newtonian physics, ..)

# Motor responses can correct “online”

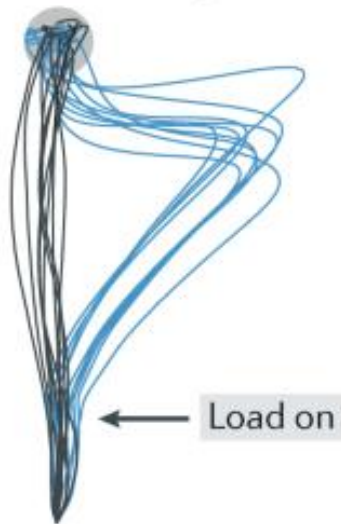
Narrow target



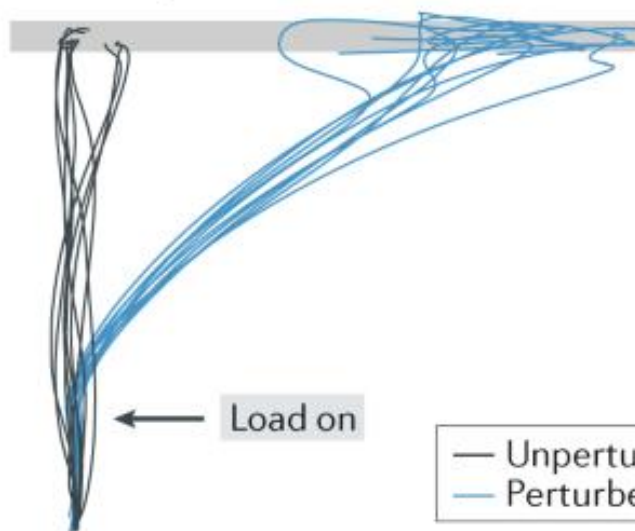
— Unperturbed movement  
— Perturbed movement

# Corrective motor responses are tuned to goals

Narrow target



Wide target



— Unperturbed movement  
— Perturbed movement

# How does the motor system work?

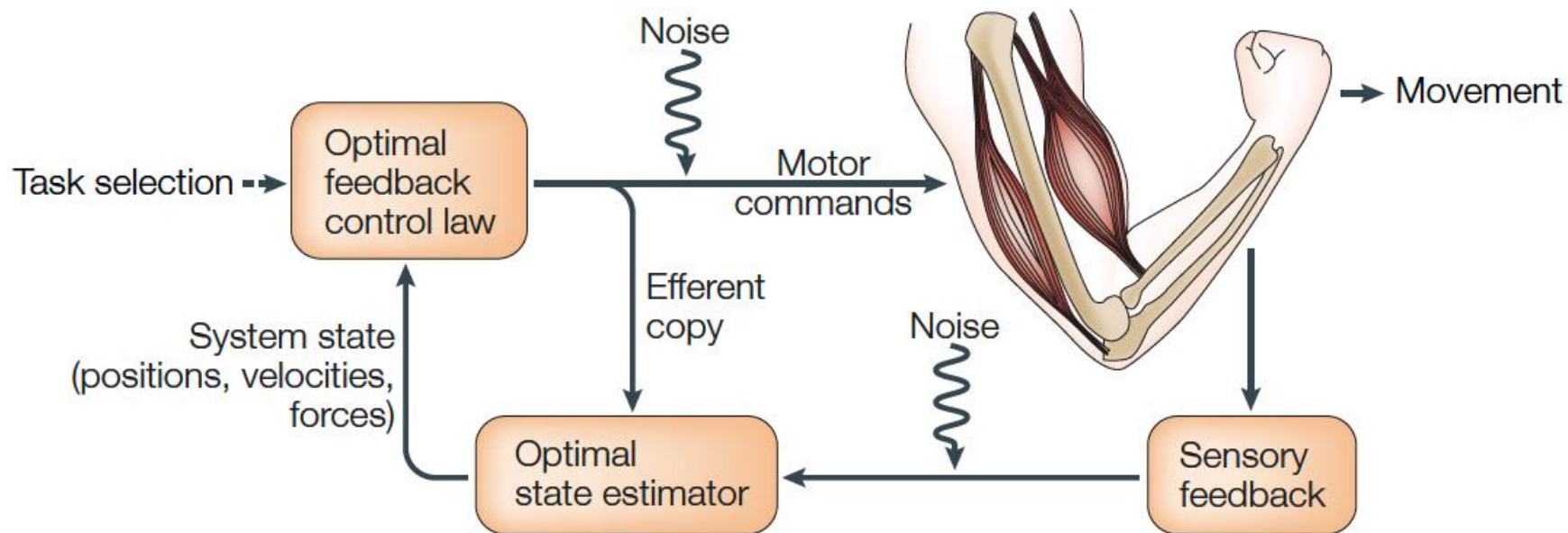
The motor system shows two apparently conflicting features:

- the ability to accomplish high-level goals reliably and repeatedly,
- despite high variability on the level of movement details

This is fundamentally incompatible with models that enforce a **strict separation between trajectory planning and trajectory execution**.

**Optimal feedback control theory (OFC)** postulates that the motor system approximates the best possible control scheme for a given task. It is updated online based on available information.

# Optimal feedback control (OFC) theory



# The computational problem of reaching

We assume that reaching (picking motor commands)  
is a consequence of maximizing rewards and minimizing costs.



# Problem statement

Consider a linear dynamical system with state  $\mathbf{x}$ , control  $\mathbf{u}$  and feedback  $\mathbf{y}$  in discrete time  $t$ :

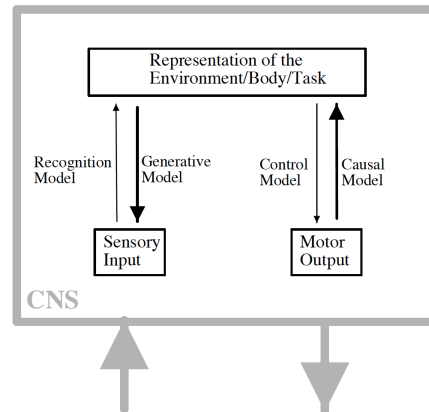
*Dynamics* 
$$\mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{u}_t + \boldsymbol{\xi}_t + \sum_{i=1}^c \varepsilon_t^i C_i \mathbf{u}_t$$

*Feedback* 
$$\mathbf{y}_t = H\mathbf{x}_t + \boldsymbol{\omega}_t + \sum_{i=1}^d \epsilon_t^i D_i \mathbf{x}_t$$

*Cost per step* 
$$\mathbf{x}_t^T Q_t \mathbf{x}_t + \mathbf{u}_t^T R \mathbf{u}_t$$

$\Sigma_t$  covariance of  $\mathbf{x}_t$

Note: this dyn. model is a simple causal model!



# What is needed?

$$\text{Dynamics} \quad \mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{u}_t + \boldsymbol{\xi}_t + \sum_{i=1}^c \varepsilon_t^i C_i \mathbf{u}_t$$

$$\text{Feedback} \quad \mathbf{y}_t = H\mathbf{x}_t + \boldsymbol{\omega}_t + \sum_{i=1}^d \epsilon_t^i D_i \mathbf{x}_t$$

$$\text{Cost per step} \quad \mathbf{x}_t^T Q_t \mathbf{x}_t + \mathbf{u}_t^T R \mathbf{u}_t$$

1) The controller can only observe the state through noisy observations & needs to infer the state from noisy dynamics

2) Given state estimates, and past observations and controls one needs to compute

$$U_k^* \left( \underset{\substack{\uparrow \\ [Y_1, \dots, Y_{k-1}]}}{Y^{k-1}}, \underset{\substack{\uparrow \\ [U_1, \dots, U_{k-1}]}{U^{k-1}}, \hat{X}_1, \Sigma_1 \right) \text{ that minimizes } J = \mathbb{E} \left( X_N^T Q_N X_N + \sum_{k=1}^{N-1} (X_k^T Q_k X_k + U_k^T R U_k) \right)$$

3) This amounts to minimizing expected costs by taking into account possible sequences of future controls & observations

$$\min_{U_k} \left( U_k^T R U_k + \mathbb{E} (X_k^T Q_k X_k) + \mathbb{E}_{Y_k} \left( \min_{U_{k+1}} \left( U_{k+1}^T R U_{k+1} + \mathbb{E} (X_{k+1}^T Q_{k+1} X_{k+1}) + \mathbb{E}_{Y_{k+1}} (\dots) \right) \right) \right)$$

In general, this amounts to an exhaustive search in an exponentially large space.

■

Yet, with additive noise (next slide) there is an analytical solution!

# LQR solution (for only additive noise)

Remarkably there is an analytical solution:

## Kalman Filter

$$\hat{\mathbf{x}}_{t+1} = A\hat{\mathbf{x}}_t + B\mathbf{u}_t + K_t(\mathbf{y}_t - H\hat{\mathbf{x}}_t)$$

$$K_t = A\Sigma_t H^\top (H\Sigma_t H^\top + \Omega^\omega)^{-1}$$

$$\Sigma_{t+1} = \Omega^\xi + (A - K_t H) \Sigma_t A^\top$$

## Linear-Quadratic Regulator

$$\mathbf{u}_t = -L_t \hat{\mathbf{x}}_t$$

$$L_t = (R + B^\top S_{t+1} B)^{-1} B^\top S_{t+1} A$$

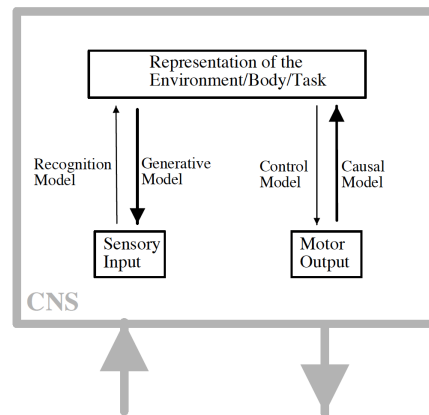
$$S_t = Q_t + A^\top S_{t+1} (A - B L_t)$$

Dynamics  $\mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{u}_t + \xi_t + \sum_{i=1}^c \mathbf{e}_t^i C_i \mathbf{u}_t$

Feedback  $\mathbf{y}_t = H\mathbf{x}_t + \omega_t + \sum_{i=1}^d \mathbf{e}_t^i D_i \mathbf{x}_t$

Cost per step  $\mathbf{x}_t^\top Q_t \mathbf{x}_t + \mathbf{u}_t^\top R \mathbf{u}_t$

Note: This hard computation  
inverts the thick arrow!!



# LQR solution (for only additive noise)

Dynamics  $\mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{u}_t + \boldsymbol{\xi}_t + \sum_{i=1}^c \mathbf{C}_i^T \mathbf{u}_t$

Feedback  $\mathbf{y}_t = H\mathbf{x}_t + \boldsymbol{\omega}_t + \sum_{i=1}^d \mathbf{D}_i \mathbf{x}_t$

Cost per step  $\mathbf{x}_t^T Q_t \mathbf{x}_t + \mathbf{u}_t^T R \mathbf{u}_t$

The (optimally) estimated state propagates forward according to the Kalman Filter

## Kalman Filter

Internal state estimate

$$\hat{\mathbf{x}}_{t+1} = A\hat{\mathbf{x}}_t + B\mathbf{u}_t + K_t (\mathbf{y}_t - H\hat{\mathbf{x}}_t) \quad (3.2)$$

Kalman gain

$$K_t = A\Sigma_t H^T (H\Sigma_t H^T + \Omega^\omega)^{-1}$$

Internal state covariance

$$\Sigma_{t+1} = \Omega^\xi + (A - K_t H) \Sigma_t A^T$$

Sensory feedback covariance

Motor noise covariance

# LQR solution (for only additive noise)

The optimal control law is obtained from the state estimate (of the KF),  
and *constant* matrices propagated backwards in time!

$$\text{Dynamics} \quad \mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{u}_t + \boldsymbol{\xi}_t + \sum_{i=1}^c \mathbf{C}_i \mathbf{u}_t$$

$$\text{Feedback} \quad \mathbf{y}_t = H\mathbf{x}_t + \boldsymbol{\omega}_t + \sum_{i=1}^d \mathbf{D}_i \mathbf{x}_t$$

$$\text{Cost per step} \quad \mathbf{x}_t^T Q_t \mathbf{x}_t + \mathbf{u}_t^T R \mathbf{u}_t$$

## Linear-Quadratic Regulator

Control Law

$$\mathbf{u}_t = -L_t \hat{\mathbf{x}}_t$$

$$L_t = (R + B^T S_{t+1} B)^{-1} B^T S_{t+1} A$$

$$S_t = Q_t + A^T S_{t+1} (A - B L_t)$$

Does not depend on noise covariances;  
only KF depends on it (*Separation principle*)

Note: the optimal control law is computed from the optimal estimate, not the actual state!

- This is called the ***certainty-equivalence principle***.

# LQR solution (for only additive noise)

## Kalman Filter

$$\hat{\mathbf{x}}_{t+1} = A\hat{\mathbf{x}}_t + B\mathbf{u}_t + K_t(\mathbf{y}_t - H\hat{\mathbf{x}}_t)$$

$$K_t = A\Sigma_t H^\top (H\Sigma_t H^\top + \Omega^\omega)^{-1}$$

$$\Sigma_{t+1} = \Omega^\xi + (A - K_t H) \Sigma_t A^\top$$

## Linear-Quadratic Regulator

$$\mathbf{u}_t = -L_t \hat{\mathbf{x}}_t$$

$$L_t = (R + B^\top S_{t+1} B)^{-1} B^\top S_{t+1} A$$

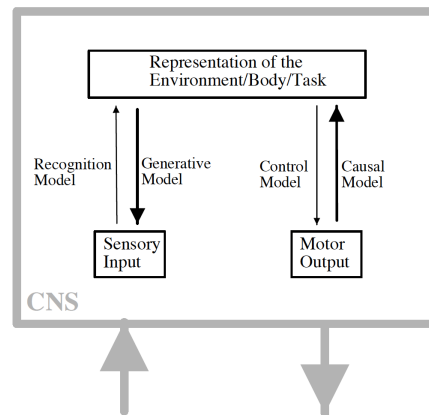
$$S_t = Q_t + A^\top S_{t+1} (A - B L_t)$$

Dynamics  $\mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{u}_t + \xi_t + \sum_{i=1}^c \cancel{e_t^i} C_i \mathbf{u}_t$

Feedback  $\mathbf{y}_t = H\mathbf{x}_t + \omega_t + \sum_{i=1}^d \cancel{e_t^i} D_i \mathbf{x}_t$

Cost per step  $\mathbf{x}_t^\top Q_t \mathbf{x}_t + \mathbf{u}_t^\top R \mathbf{u}_t$

Note: This hard computation  
inverts the thick arrow!!



$$\hat{\mathbf{x}}_{t+1} = A\hat{\mathbf{x}}_t + B\mathbf{u}_t + K_t(\mathbf{y}_t - H\hat{\mathbf{x}}_t) + \eta_t$$

$$\text{Dynamics} \quad \mathbf{x}_{t+1} = A\mathbf{x}_t + B\mathbf{u}_t + \boldsymbol{\xi}_t + \sum_{i=1}^c \epsilon_t^i C_i \mathbf{u}_t$$

$$\text{Feedback} \quad \mathbf{y}_t = H\mathbf{x}_t + \boldsymbol{\omega}_t + \sum_{i=1}^d \epsilon_t^i D_i \mathbf{x}_t$$

$$\text{Cost per step} \quad \mathbf{x}_t^T Q_t \mathbf{x}_t + \mathbf{u}_t^T R \mathbf{u}_t$$

$$\text{Controller} \quad \mathbf{u}_t = -L_t \hat{\mathbf{x}}_t$$

$$L_t = \left( R + B^T S_{t+1}^x B + \sum_i C_i^T (S_{t+1}^x + S_{t+1}^e) C_i \right)^{-1} B^T S_{t+1}^x A$$

$$S_t^x = Q_t + A^T S_{t+1}^x (A - BL_t) + \sum_i D_i^T K_t^T S_{t+1}^e K_t D_i; \quad S_n^x = Q_n$$

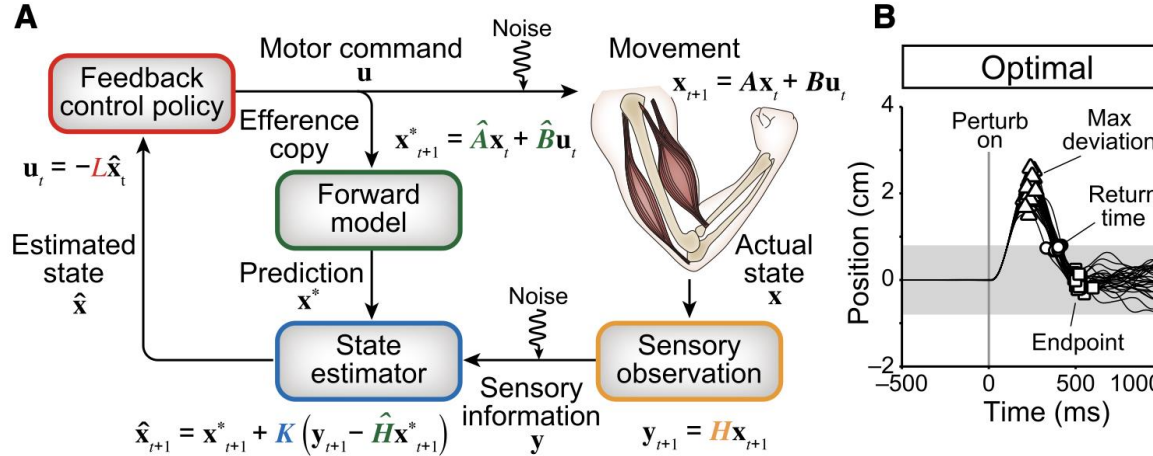
$$S_t^e = A^T S_{t+1}^x BL_t + (A - K_t H)^T S_{t+1}^e (A - K_t H); \quad S_n^e = 0$$

$$s_t = \text{tr}(S_{t+1}^x \Omega^\xi + S_{t+1}^e (\Omega^\xi + \Omega^\eta + K_t \Omega^\omega K_t^T)) + s_{t+1}; \quad s_n = 0.$$

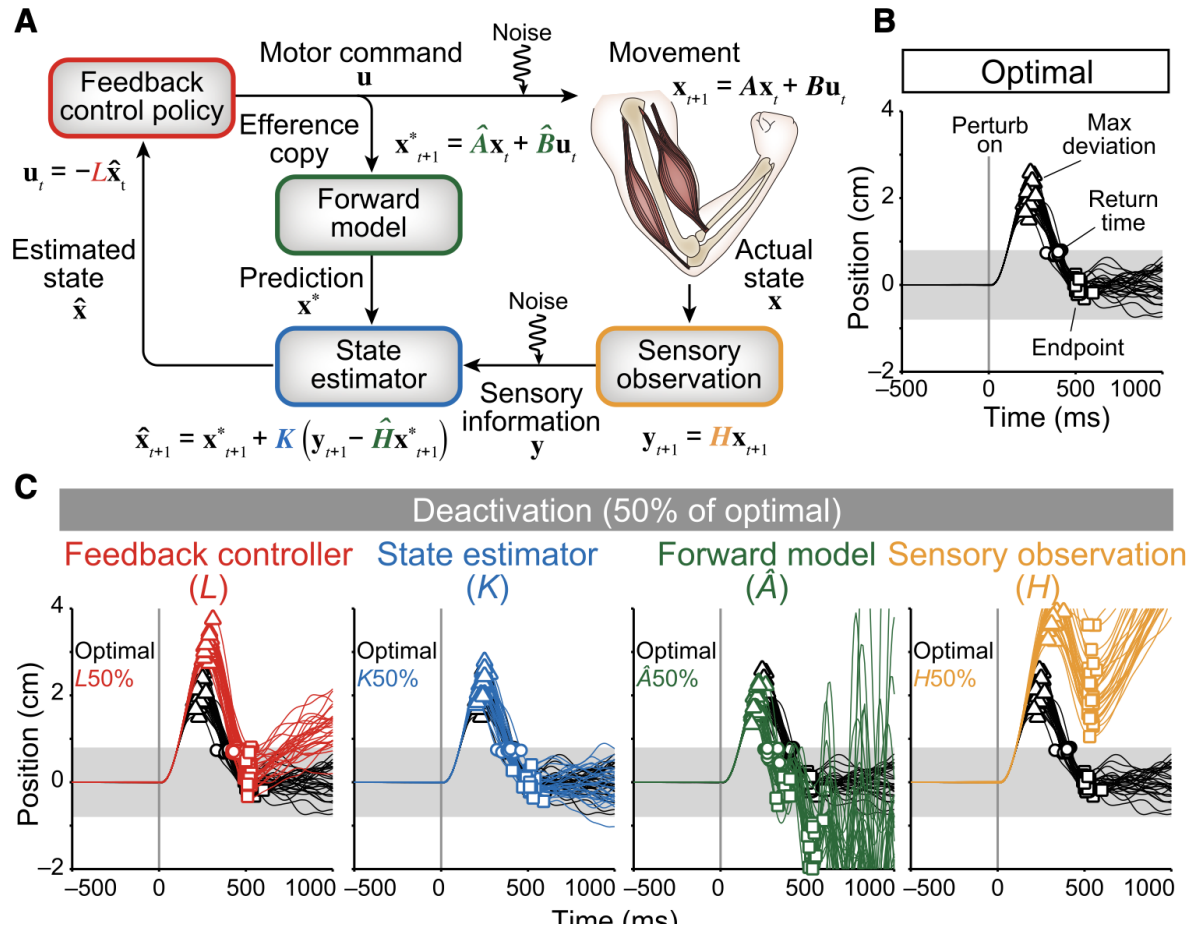
# Linking brain areas to computations



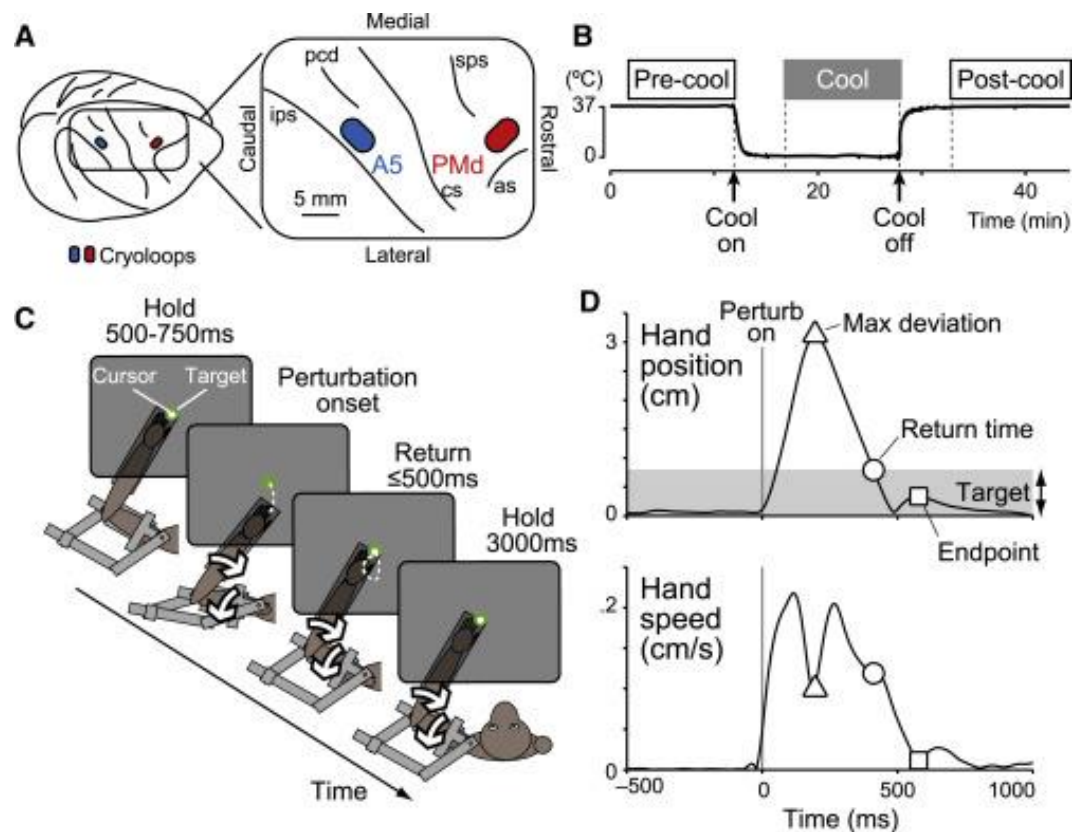
# Modeling predictions



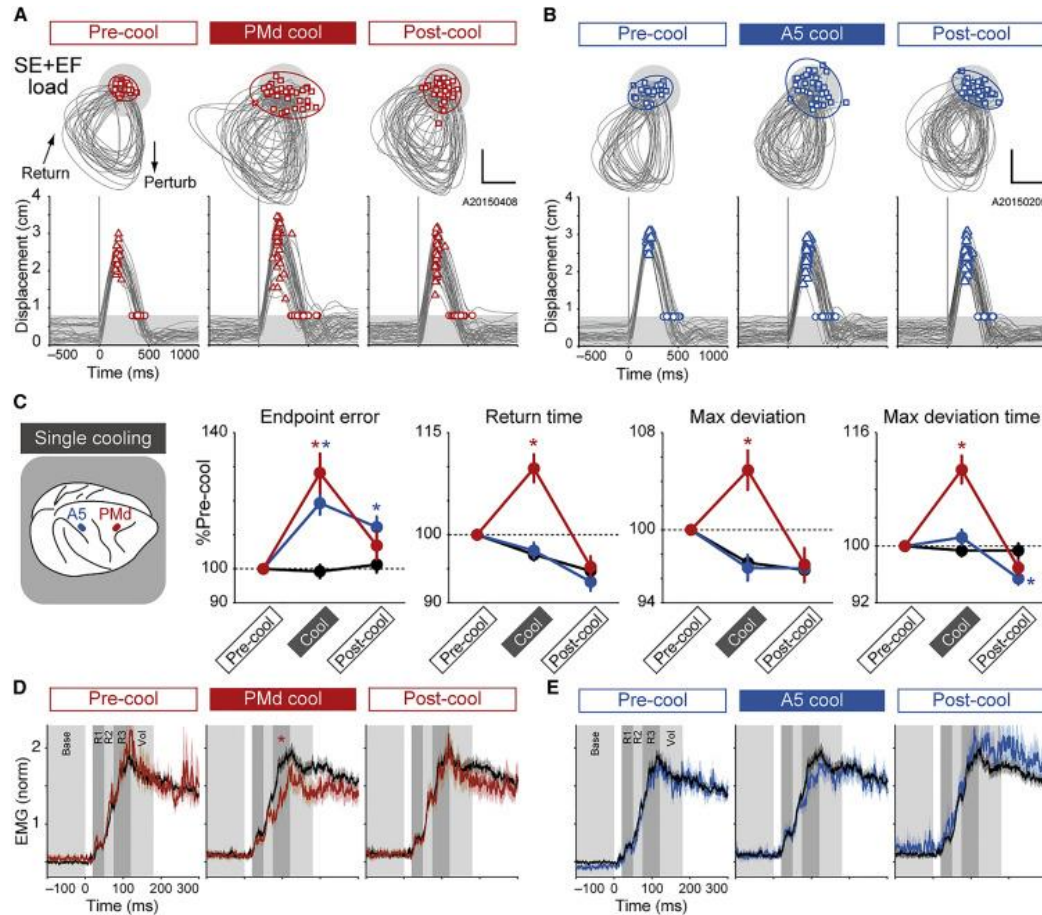
# Modeling predictions



# Cooling the brain



# Experimental results for PMd and A5 cooling



These results are consistent with our hypothesis that PMd cooling impairs the feedback control policy.

When A5 was cooled, the endpoint variability was increased similarly to the PMd cooling. However, in contrast to PMd cooling, during A5 cooling monkey was still able to return to the target quickly within 500 ms

# Take-home messages

- Many behaviors are generated by pattern generators (locomotion, breathing, ...)
- Feedback plays a key role for skilled behavior
- Optimal feedback control theory explains two apparently conflicting features: high accuracy and high variability
- *OFC shows that in the face of uncertainty the optimal strategy is to allow variability in redundant (task-irrelevant) dimensions*
- *From this framework, task-constrained variability, goal-directed corrections, motor synergies ... emerge [Todorov 2001 & 2004]*
- Somatosensory, parietal and premotor areas play key roles in feedback base control and motor adaptation – and we have evidence which areas are involved in specific components.
- However, how neural circuits implement these computations remains an open question